

DISCLOSURE DOCUMENT

INTRODUCTION

In terms of the General Code of Conduct of the FAIS Act, JME Financial Services (Pty) Ltd with Licence number 37166 (the “Company”) is required to disclose the information in this document to you as an authorised Financial Services Provider.

You are therefore requested to read through the document carefully and sign the acknowledgement that you have read and understood the contents hereof. If there is anything in this document that you do not understand, please request further information from us. You are entitled to a copy of this document for your own records and to request a copy of our FSP license certificate.

FINANCIAL SERVICE PROVIDER, PRODUCTS AND CONTACT DETAILS

The Financial Sector Conduct Authority has duly authorised JME Financial Services (Pty) Ltd to render financial services as defined in terms of the FAIS Act in respect of the financial products it offers

Physical address: Suite 10, 21 Lighthouse Rd 201 Beacon Rock, Umhlanga Rocks, Kwa-Zulu Natal, 4320, South Africa

FSP license number: 37166

Company registration: 2018 / 607541 / 07

Website: za.capex.com

Postal Address: Suite 10, 21 Lighthouse Rd 201 Beacon Rock, Umhlanga Rocks, Kwa-Zulu Natal, 4320, South Africa

Telephone: +27 12 880 2530

PRODUCT SUPPLIERS

JME Financial Services (Pty) Ltd does not market the products of product suppliers.

CONFLICT OF INTEREST MANAGEMENT POLICY

JME Financial Services (Pty) Ltd has adopted and implemented a conflict of interest management policy that complies with the provisions of the FAIS Act. The conflict of interest management policy is published on the website of the Company at za.capex.com

INDEMNITY COVER

JME Financial Services (Pty) Ltd holds Professional Indemnity and Fidelity cover.

COMPLIANCE OFFICER

The Company has its compliance function outsourced to **'Outsourced Compliance Services (Pty) Ltd'** with Company's Registration number 2017/394441/07 and with Practice number 7154. The physical address of which is Floor 9, Atrium on 5th, 5th Street, Sandton. The person in charge is Mr JJ van Zyl, which can be reached at 010 003 0730 or by email at Johan@outsourcedcompliance.co.za

COMPLAINTS

Should you wish to pursue a complaint, you should address the complaint in writing.

If you cannot settle your complaint with us, you are entitled to refer it to the office of the FAIS Ombud via the following contact details:

- Telephone number: 0860 324 766
- E-mail: info@faisombud.co.za

The Ombud has been created to provide you with a redress mechanism for any inappropriate financial advice that you feel may have been given to you by a financial services provider.

FINANCIAL INTELLIGENCE CENTRE ACT ("FICA")

In terms of FICA, the Company is an accountable institution. We are required to identify our prospective clients, verify the given information and keep records of the verifying documents. We are also obliged to report suspicious and unusual transactions that may facilitate money laundering to the authorities.

DISCLAIMER

Contracts for Difference ('CFDs') are leveraged products, incur a high level of risk and can result in the loss of all of your invested capital. As a result, CFDs may not be suitable for all individuals. You should not risk more than you are prepared to lose. Before deciding to trade, you should ensure that you understand the risks involved and take into account your level of experience. You should seek independent advice, if necessary.

CLIENT ACKNOWLEDGMENT

As per the General code of conduct you must at the earlier reasonable opportunity acknowledge the Disclosure Document. Therefore, you shall acknowledge the disclosure document during your registration process with the Company. The disclosure document will always be on the Company's website under the legal documents section.



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support@za.capex.com



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